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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/519,585
Filing Date	December 27, 2004
First Named Inventor	Robert A. Levine
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	186291/US/3 - 475387-00108

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO-1449 form with 7 references and Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

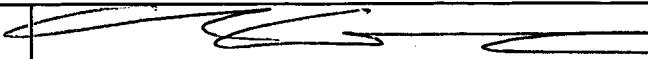
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Dorsey & Whitney, L.L.P.		
Signature			
Printed name	Gary Abelev, Esq.		
Date	February 24, 2006	Reg. No.	Reg. No. 40,479

### CERTIFICATE OF TRANSMISSION/MAILING

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Signature



Typed or printed name

Gary Abelev, Esq.

Date

February 24, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.L./



186291/US/3 – 475387-00108 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Robert A. Levine et al.  
Serial No. : 10/519,585  
Filed : December 27, 2004  
Entitled : SYSTEMS FOR AND METHODS OF REPAIR OF  
ATRIOVENTRICULAR VALVE REGURGITATION AND  
REVERING VENTRICULAR REMODELING  
Group Art Unit : To be determined  
Examiner : To be determined

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U. S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this day of February 24, 2006.



(Signature)

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the PCT/foreign/non-patent references are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under

United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

This submission is being filed before any action by the U.S. Patent and Trademark Office on the merits. Therefore, applicants do not believe that any fee is due in connection with the submission of this paper. However, if any fee is due, or if any overpayment has been made, the Commissioner is authorized to charge any such fee or credit any overpayment, to our Deposit Account No. 50-2054.

Respectfully submitted,

**DORSEY & WHITNEY, LLP**



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PTO Reg. No. 40,479  
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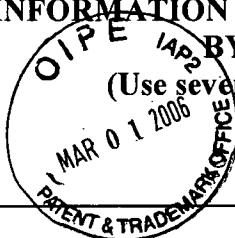
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4838-9087-8464\1

Form PTO-1449 U.S. Department of Commerce  
(REV. 2-82) Patent and Trademark Office

Atty. Docket No.  
186291/US/3 – 475387-  
00108

Serial No.  
10/519,585

**INFORMATION DISCLOSURE STATEMENT**  
BY APPLICANT  
(Use several sheets if necessary)



Applicant(s)  
Robert A. Levine

Filing Date  
December 27, 2004

Group  
To be assigned

**U.S. PATENT DOCUMENTS**

*Exam. Init.		Document No.							Date	Name	Class	Subclass	Filing Date if Appropriate
		6	7	7	0	0	8	3	August 3, 2004	Seguin			
2002	0	0	1	3	5	7	1	January 31, 2002	Goldfarb et al.				

**FOREIGN PATENT DOCUMENT**

		Document No.							Date	Country	Class	SubClass	Translator Yes No
		0	0	6	0	9	9	5	October 19, 2000	WIPO			
		0	1	2	8	4	3	2	April 26, 2001	WIPO			

**OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)**

•			Robert A. Levine et al., "Ischemic Mitral Regurgitation on the Threshold of a Solution from Paradoxes to Unifying Concepts", Contemporary Reviews in Cardiovascular Medicine, 2005; 112, pages 745 – 758
✓			Judy Hung et al., "Reverse Ventricular Remodeling Reduces Ischemic Mitral Regurgitation Echo-Guided Device Application in the Beating Heart", Circulation, November 12, 2002, Pages 2594-2600
			Judy Hung et al., "Mechanism of Recurrent Ischemic Mitral Regurgitation After Annuloplasty continued LV remodeling as a moving target", Circulation, September 14, 2004, Pages 85-90
			Emmanuel Messas et al., "Chordal Cutting A New Therapeutic Approach for Ischemic Mitral Regurgitation", Ischemic Mitral Regurgitation, July 2001, Pages 1958-1963
			Emmanuel Messas et al., "Efficacy of Chordal Cutting to Relieve Chronic Persistent Ischemic Mitral Regurgitation", Circulation, September 9, 2003, Pages 111-115

4846-6263-0400\1

Examiner /John Lacyk/ Date Considered 03/25/2010

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.L./